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## 2 Cellular Potts Model

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### 7 Synonyms

8 CPM; Glazier–Graner–Hogeweg model; Potts model,  
9 cellular/extended

### 10 Definition

11 A cellular Potts model (CPM) is a spatial lattice-based  
12 formalism for the study of spatiotemporal behavior of  
13 biological cell populations. It can be used when the  
14 details of intercellular interaction are essentially deter-  
15 mined by the shape and the size of the individual cells  
16 as well as the length of the contact area between  
17 neighboring cells.

18 Formally, a cellular Potts model is a time-discrete  
19 Markov chain (► [Markov process](#)). It is a lattice model  
20 where the individual cells are simply connected  
21 domains of nodes with the same cell index. A CPM  
22 evolves by updating the cells' configuration by one  
23 pixel at a time based on probabilistic rules. These  
24 dynamics are interpreted to resemble membrane fluc-  
25 tuations, where one cell shrinks in volume by one  
26 lattice site and a neighboring cell increases in volume  
27 by occupying this site. The transition rules follow  
28 a modified ► [Metropolis algorithm](#) with respect to  
29 a Hamiltonian.

## Characteristics

30

### Problem

31

32 The biological structure and function typically result  
33 from the complex interaction of a large number of  
34 components. When ► [spatiotemporal pattern forma-  
35 tion](#) in cellular populations or tissues is considered,  
36 one is often interested in concluding characteristics of  
37 the global, ► [collective behavior](#) of cell configurations  
38 from the individual properties of the cells and the  
39 details of the intercellular interaction. However, even  
40 if the basic cell properties and interactions are per-  
41 fectly known, it is possible that – due to the complex  
42 structure of the system – the collective traits cannot be  
43 directly extrapolated from the individual properties.  
44 Therefore, appropriate mathematical models need to  
45 be designed and analyzed that help to accomplish this  
46 task on a theoretical basis. Cellular Potts models con-  
47 stitute a modeling framework that is applicable when  
48 the details of intercellular interaction are essentially  
49 determined by the shape and the size of the individual  
50 cells as well as the length of the contact area between  
51 neighboring cells.

52 This model class has been developed by Glazier and  
53 Graner (1993) in the context of cell sorting. The latter  
54 refers to the observed segregation of heterotypic cell  
55 aggregates into spatially confined homotypic cell clus-  
56 ters. The CPM was introduced to explore the tissue-  
57 scale consequences of the differential adhesion  
58 hypothesis (► [Differential Adhesion Hypothesis](#)) that  
59 holds that cell-type-dependent disparities in the  
60 expression of molecules that regulate intercellular  
61 adhesion are responsible for cell sorting. Since then,  
62 this formalism has been elaborated and applied to

63 study a wide range of morphogenetic phenomena in  
 64 developmental biology.

65 **The Model**

66 **State Space**

67 A CPM assigns a value  $\eta(x)$  from a set  
 68  $W = \{0, 1, \dots, n\}$  to each site  $x$  of a countable set  $S$ ,  
 69 cp. Fig. 1. The set  $S$  resembles the discretized space  
 70 and is often chosen as a two- or three-dimensional  
 71 regular lattice. The set  $W = \{0, 1, \dots, n\}$  contains the  
 72 so-called cell indices, where  $n \in \mathbb{N}$  is the absolute  
 73 number of cells that are considered in the model. The  
 74 state of the system as a whole is described by *config-*  
 75 *urations*  $\eta \in X = W^S$ . Given a configuration  $\eta \in X$ ,  
 76 a *cell* is the set of all points in  $S$  with the same cell  
 77 index,  $\text{cell}_w := \{x \in S : \eta(x) = w\}$ ,  $w \in W \setminus \{0\}$ . The  
 78 value 0 is assigned to a given node, if this node is not  
 79 occupied by a cell but by medium. Each cell is of  
 80 a certain *cell type*, which determines the migration  
 81 and interaction properties of the cell, the set of all  
 82 possible cell types being denoted by  $A$ . Denote by  
 83  $\tau : W \rightarrow A$  the map that assigns each cell its cell  
 84 type. A cell with index  $w \in W$  has *volume* (for the  
 85 Kronecker symbol  $\delta$  it holds that  $\delta(u, v) = 1$  if  $u = v$   
 86 and  $\delta(u, v) = 0$  otherwise)

$$V_w(\eta) := \sum_{x \in S} \delta(w, \eta(x)),$$

87 and *surface length*

$$M_w(\eta) := \frac{1}{2} \sum_{\text{interfaces } \{x,y\}} \delta(w, \eta(x)).$$

88 The sum in the last term is taken over all *interfaces*  
 89 of a given configuration  $\eta$  that are all pairs of lattice  
 90 neighbors which do not belong to the same cell.

91 **Dynamics**

92 A cellular Potts model (CPM) is a time-discrete  
 93 Markov chain ( $\blacktriangleright$  **Markov Process**) with state space  
 94  $X$ , where the transition probabilities are specified  
 95 with the help of a *Hamiltonian*. The latter is  
 96 a function  $H: X \rightarrow \mathbb{R}$  which often has a special struc-  
 97 ture. Usually, it is the sum of several terms that control  
 98 single aspects of the cells' interdependence structure.  
 99 The standard CPM uses the following two terms. First  
 100 a *surface interaction term*

$$H_s(\eta) = \sum_{\text{interfaces } \{x,y\}} J(\tau(\eta(x)), \tau(\eta(y))), \eta \in X, \quad (1)$$

is specified. Here,  $J: A \times A \rightarrow \mathbb{R}$ , the matrix of so-called  
 surface energy coefficients, is assumed to be symmetric. Second the *volume constraint*

$$H_v(\eta) = \sum_{w \in W} \lambda_{\tau(w)} (V_w(\eta) - v_{\tau(w)})^2, \eta \in X. \quad (2)$$

is used. Here  $V_\tau$ , the target volume, and  $\lambda_\tau$ , the strength  
 of the volume constraint, are cell-type-specific param-  
 eters,  $\tau \in A$ . Depending on the phenomenon under  
 investigation, further summands can be included. For  
 instance, a constraint can be put on the surface length  
 (Ouchi et al. 2003)

$$H_m(\eta) = \sum_{w \in W} \alpha_{\tau(w)} (M_w(\eta) - m_{\tau(w)})^2, \eta \in X. \quad (3)$$

Again  $m_\tau$ , the target surface length, and  $\alpha_\tau$ , the strength  
 of the surface constraint, are parameters,  $\tau \in A$ . Thus,  
 the typical structure of a CPM-Hamiltonian is

$$H = H_s + H_v + H_m, \quad (4)$$

where  $H_s, H_v$  are given in (1) and (2) and  $H_m: X \rightarrow \mathbb{R}$  is  
 a model-specific addend. See the section "Extensions and Applications" for additional examples of  $H_m$ .

Transitions from one configuration to another follow a special rule which is called *modified Metropolis algorithm* ( $\blacktriangleright$  **Metropolis Algorithm**). First, two additional parameters are specified: a so-called temperature  $T > 0$ , which is a biological analogue of the energy of thermal fluctuations in statistical physics and is a measure of cell motility, and the *transition threshold*  $h$ , which accounts for energy dissipation during formation and breaking of intercellular bonds and avoids oscillatory behavior (Savill and Hogeweg 1997; Ouchi et al. 2003). Then, the following algorithm is performed (1):

1. Start with configuration  $\eta$ .
2. Pick a target site  $x \in S$  at random with uniform distribution on  $S$ .
3. Pick a neighbor  $y$  of  $x$  at random with uniform distribution among all lattice neighbors of  $x$ .
4. Calculate the energetic difference  $\Delta H_x^y := H(\eta_x^y) - H(\eta)$  of a transition  $\eta \rightarrow \eta_x^y$ ,

114 [Au2](#)

135 where  $\eta_x^y(z) := \eta(y)$  if  $z = x$  and  $\eta_x^y(z) := \eta(z)$   
 136 otherwise.

137 5. Accept the transition by setting  $\eta := \eta_x^y$  with prob-  
 138 ability  $p(\Delta H_x^y)$ , or ignore the transition with proba-  
 139 bility  $1 - p(\Delta H_x^y)$ , where

$$140 \quad p(\Delta H_x^y) = \begin{cases} 1 & \text{if } \Delta H_x^y < h \\ e^{-(\Delta H_x^y - h)/T} & \text{otherwise} \end{cases}$$

141 5. Go to 1 or end the algorithm.

142 Consequently, only such transitions are possible  
 143 where the index of at most one lattice site is changed,  
 144 resulting in a shift of the cell's center of mass. The new  
 145 assignment to this lattice site is chosen from the cell  
 146 indices of the neighboring lattice sites. These dynam-  
 147 ics are interpreted to resemble membrane fluctuations,  
 148 where one cell shrinks in volume by one lattice site and  
 149 a neighboring cell increases in volume by occupying  
 150 this site.

151 To complete the model, appropriate boundary condi-  
 152 tions must be specified. If the influence of the bound-  
 153 ary shall be neglected, periodic boundary conditions  
 154 are used. This means that the space can be thought of as  
 155 being mapped onto a torus. However, fixed boundary  
 156 conditions, where the interaction between cell surfaces  
 157 and confining environment is explicitly modeled, can  
 158 be defined as well.

### 159 Extensions and Applications

160 The CPM model formalism has been used for several  
 161 problem-specific extensions. In general, this is done by  
 162 including additional terms into the Hamiltonian (4). In  
 163 some cases, these additional terms also depend on the  
 164 chosen target spin, thereby changing the weights for  
 165 the acceptance of a proposed transition in the modified  
 166 Metropolis algorithm. The latter extensions are called  
 167 *kinetic extensions*, since they directly affect the  
 168 transition rates.

169 *Cell motility* emerges in the CPM implicitly from  
 170 the fluctuations of the cells' center of masses. To  
 171 explicitly model physical characteristics of cell motil-  
 172 ity such as cell persistence and inertia, additional terms  
 173 that constrain the cell displacement per time step can  
 174 be added to the difference  $\Delta H$  of the standard CPM-  
 175 Hamiltonian (4) that is calculated in step (3) of the  
 176 modified Metropolis algorithm. Inertia, for example,  
 177 has been modeled by constraining the cell velocity  
 178 increment via the term

$$\Delta H_{\text{inertia}}(t) = \sum_{w \in W} \lambda_{\text{inertia}}(w) \|\vec{vel}(w, t) - \vec{vel}(w, t - \Delta t)\|^2, \quad (5)$$

179 where  $\vec{vel}(w, t)$  denotes the instantaneous center-of-  
 180 mass velocity of the cell  $w$  at time  $t$ ,  $\lambda_{\text{inertia}}(w)$  is a cell-  
 181 specific parameter, and  $\Delta t$  is one or more Monte Carlo  
 182 steps (Balter et al. 2007). Since the increment of the  
 183 Hamiltonian depends on the proposed transition, this is  
 184 a kinetic extension of the CPM.

185 *Cell shapes* arise in the CPM implicitly from satisf-  
 186 ying the volume constraint. In the two-dimensional  
 187 CPM, cells adopt approximately hexagonal shapes,  
 188 producing a space tiling pattern comparable to epithe-  
 189 lial tissues. Elongated cell shapes can be modeled by  
 190 imposing a cell length constraint which renders the  
 191 major axis of the ellipsoidal approximation of the  
 192 cell's shape to be close to a predefined target length  
 193 or ratio (Zajac et al. 2003). Rod cell shapes with  
 194 particular stiffness have been modeled using  
 195 a compartmentalized cell concept, where each cell  
 196 consists of a row of standard CPM cells (Starruß  
 197 et al. 2007).

198 *Chemotactic response* to some field  $c: S \rightarrow [0, \infty)$  of  
 199 signals can be modeled in the simplest form by an  
 200 addend  $H_{\text{chemo}} = \sum_{w \in W \setminus \{0\}} \lambda_{\text{chemo}}(w) \sum_{x \in \text{cell}_w} c(x)$  to  
 201 the Hamiltonian, where  $\lambda_{\text{chemo}}$  is possibly a cell-type-  
 202 specific chemotactic response parameter (Glazier et al.  
 203 2007). If  $\lambda_{\text{chemo}} < 0$ , the cells prefer to move up the  
 204 chemotactic gradient, for  $\lambda_{\text{chemo}} > 0$  they prefer to  
 205 move down the gradient. There have been several  
 206 more refined extensions to the CPM that model che-  
 207 motaxis (Glazier et al. 2007). One example is the  
 208 following kinetic extension used by Savill and  
 209 Hogeweg (1997), where the positions of the target  
 210 spin  $x$  and the trial spin  $y$  in a proposed transition  
 211  $\eta \rightarrow \eta_x^y$  are taken into account,

$$\Delta H_{\text{chemo}} = \sum_{w \in W} \lambda_{\text{chemo}}(w) (c(y) - c(x)). \quad (6)$$

212 *Hybrid and multiscale modeling*: The CPM can be  
 213 coupled to auxiliary formalisms, typically using sys-  
 214 tems of differential equations. A hybrid approach  
 215 enables multiscale modeling in which molecular spe-  
 216 cies are represented as continuous quantities, and cells  
 217 are treated as discrete entities. For instance, CPM  
 218 parameters pertaining to cellular properties can be

219 under the control of ordinary differential equations,  
 220 representing subcellular processes such as gene  
 221 regulation. CPM cell behavior can also be linked to  
 222 lattice-based reaction-diffusion systems representing  
 223 the biochemical microenvironment through, for  
 224 example, chemotaxis. A similar approach can be  
 225 adopted to spatially represent the intracellular bio-  
 226 chemistry that exerts influence on the protrusions and  
 227 retractions in the CPM by kinetic modulation of tran-  
 228 sition probabilities (Marée et al. 2006).

### 229 Implementations

230 When applied to specific biological problems, the  
 231 CPM framework is typically used with several exten-  
 232 sions and modifications. Its analysis comprises exten-  
 233 sive numerical simulation studies. In an effort to  
 234 provide a common implementation for CPM simula-  
 235 tions, CompuCell3D has been developed ([www.compu-  
 237 cell3d.org](http://www.compu-<br/>
    236 cell3d.org)). This open source software imple-  
 238 ments a large number of common CPM extensions and  
 provides a graphical modeling interface.

### 239 Limitations and Merits

240 From a theoretical perspective, the CPM is poorly  
 241 understood. Hence, the analysis of CPM models can  
 242 effectively only be performed by numerical simula-  
 243 tion. Important mathematical methods, such as rigor-  
 244 ous spatiotemporal limit procedures to derive the laws  
 245 that guide the behavior of certain macroscopic vari-  
 246 ables, are not yet available. Since the classical Metrop-  
 247 olis algorithm (► [Metropolis algorithm](#)) is modified in  
 248 the CPM, these models differ in essential aspects from  
 249 classical equilibrium models. In addition, CPMs have  
 250 been criticized because their calibration is often  
 251 nontrivial. Cellular behaviors are specified in an indi-  
 252 rect or phenomenological manner via the Hamiltonian  
 253 and the modified Metropolis algorithm. Consequently,  
 254 the relation between the parameters that control the  
 255 dynamics of the CPM and the biological-physical  
 256 quantities they represent often remains allusive.

257 Despite these limitations, the CPM formalism has  
 258 found applications in many topics, mainly in the field  
 259 of developmental biology. Its spatial and cell-centered  
 260 nature renders it suitable for the study of phenomena  
 261 where a mesoscopic description of individual cell  
 262 shape and motility is important. It provides a flexible

modeling framework that allows incorporation of  
 problem-specific extensions. Moreover, coupling the  
 CPM to auxiliary model formalisms enables the explo-  
 ration of the complex interplay between several factors  
 at different biological scales, acting at the intracellular,  
 the intercellular, and the tissue level.

### Cross-References

- [Collective Behavior](#) 270
- [Differential Adhesion Hypothesis](#) 271
- [Markov Process](#) 272
- [Metropolis Algorithm](#) 273
- [Spatiotemporal Pattern Formation](#) 274

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275 [\[Au3\]](#)

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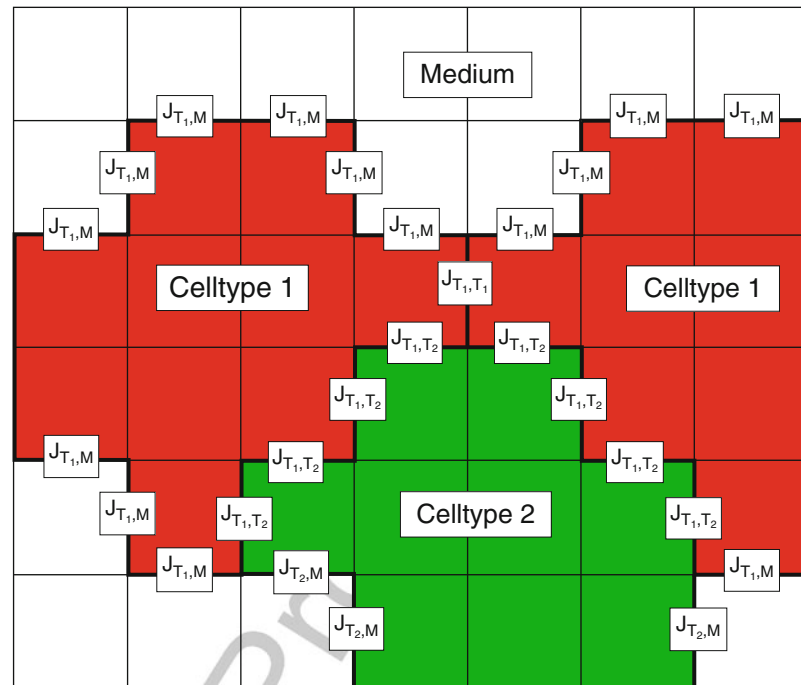
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**Cellular Potts Model,**

**Fig. 1** Cell-surface interaction in the Cellular Potts Model. Three cells, each one covering several lattice sites, interact with each other at the cell surfaces. The strength  $J$  of the interaction depends on the cell types, type T1 depicted in *red*, type T2 in *green*. There are also interactions between the cells and the medium (*white*)



Galley Proof

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